



07-06-04

Atty. Dkt. No. 081149-0109

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Richard P. Welty

Title: ARTICLE WITH SCANDIUM  
COMPOUND DECORATIVE  
COATING

Appl. No.: 10/633,245

Filing Date: 08/01/2003

Examiner: To be determined

Art Unit: 1762

<b>CERTIFICATE OF EXPRESS MAILING</b>	
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EV 431583713 US (Express Mail Label Number)	07/01/04 (Date of Deposit)
<hr/> Roberta A. Cooper (Printed Name)	
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**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop Amendment  
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Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.*

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

**RELEVANCE OF EACH DOCUMENT**

The relevance of the foreign-language documents is described in an English-language abstract for the foreign-language documents provided herewith. An English translation of the foreign-language documents is not readily available. However, the absence of such translation does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609).

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or

even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

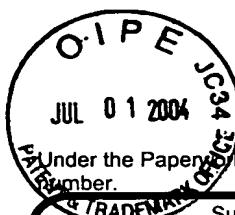
Respectfully submitted,

Date 7/1/04

FOLEY & LARDNER LLP  
Customer Number: 26371  
Telephone: (414) 297-5564  
Facsimile: (414) 297-4900

By 

Marcus W. Sprow  
Attorney for Applicant  
Registration No. 48,580



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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

Date Submitted: July 1, 2004

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Sheet

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of

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## Complete if Known

Application Number	10/633,245
Filing Date	08/01/2003
First Named Inventor	Richard P. Welty
Group Art Unit	1762
Examiner Name	To be determined

Attorney Docket Number 081149-0109

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
A1	4,252,856			Sara	02/24/1981	
A2	4,264,682			Fuyama et al.	04/28/1981	
A3	4,268,569			Hale	05/19/1981	
A4	4,269,899			Fuyama et al.	05/26/1981	
A5	4,273,592			Kelly	06/16/1981	
A6	4,304,984			Bolotnikov et al.	12/08/1981	
A7	4,313,769			Frelin et al.	02/02/1982	
A8	4,338,140			Reghi	07/06/1982	
A9	4,339,312			Brooks et al.	07/13/1982	
A10	4,347,083			Sara	08/31/1982	
A11	4,370,177			Frelin et al.	01/25/1983	
A12	4,396,458			Platter et al.	08/02/1983	
A13	4,402,744			Sara	09/06/1983	
A14	4,419,416			Gupta et al.	12/06/1983	
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A16	4,449,989			Sarin et al.	05/22/1984	
A17	4,545,955			Dickson	10/08/1985	
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A19	4,583,243			Diemer et al.	04/15/1986	
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A27	4,731,169			Lipsztajn	03/15/1988	
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A35	4,829,655			Cornelison et al.	05/16/1989	
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A38	4,965,090			Gärtner et al.	10/23/1990	
A39	4,981,512			Kapoor	01/01/1991	
A40	5,051,300			Rousseau	09/24/1991	
A41	5,064,397			Hasker et al.	11/12/1991	
A42	5,065,070			Longo et al.	11/12/1991	

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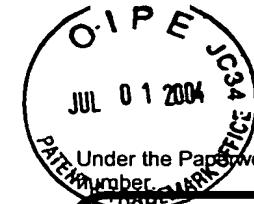
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<sup>1</sup> Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/633,245
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				First Named Inventor	Richard P. Welty
				Group Art Unit	1762
				Examiner Name	To be determined
Sheet	2	of	5	Attorney Docket Number	081149-0109

## U.S. PATENT DOCUMENTS

		U.S. Patent Document			
A43	5,124,998		Arrigoni et al.	06/23/1992	
A44	5,154,970		Kaplan et al.	10/13/1992	
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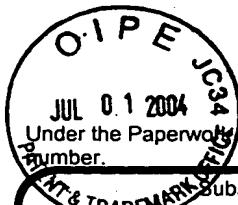
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				<b>First Named Inventor</b>	Richard P. Welty
				<b>Group Art Unit</b>	1762
				<b>Examiner Name</b>	To be determined
Sheet	3	of	5	Attorney Docket Number	081149-0109

**U.S. PATENT DOCUMENTS**

U.S. Patent Document					
A88	6,580,218	B2	Harada et al.	06/17/2003	
A89	6,602,356	B1	Nagaraj et al.	08/05/2003	
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A110	2003/0140856	A1	Corderman et al.	07/31/2003	
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A112	2004/0016318	A1	Angeliu	01/29/2004	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Offi ce <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
A114	EP	0032306	B1		Amchem Products, Inc.	07/22/1981		
A115	EP	0047363	B1		Pennwalt Corporation	03/17/1982		
A116	EP	0082515	B2		International Business Machines Corp.	06/29/1983		
A117	EP	0107142	B1		W.R. Grace & Co.	05/02/1984		
A118	EP	0117542	B1		Liburdi Engineering Limited	09/05/1984		
A119	EP	0121314	B1		Borg-Warner Corporation	10/10/1984		
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A122	EP	0179513	B1		N. V. Philips' Gloeilampenfabrieken	04/30/1986		

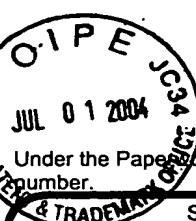
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
A123	EP	0236961	B1	Mitsubishi Materials Corp.	09/16/1987			
A124	EP	0266127	B1	Tenneco Canada Inc.	05/04/1988			
A125	EP	0266128	A2	Tenneco Canada Inc.	05/04/1988			
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A130	EP	0328818	B1	Sterling Canada, Inc.	08/23/1989			
A131	EP	0353367	B1	Sterling Canada, Inc.	02/07/1990			
A132	EP	0390269	B1	N.V. Philips' Gloeilampenfabrieken	10/03/1990			
A133	EP	0386386	B1	United Technologies Corp.	09/12/1990			
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A136	EP	0435039	B1	Sumitomo Electric Industries, Ltd.	07/03/1991			
A137	EP	0492763	A1	Hughes Aircraft Company	07/01/1992			
A138	EP	0519643	B1	General Electric Company	12/23/1992			
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A140	EP	0535796	B1	Tioxide Group Services Limited	04/07/1993			
A141	EP	0564709	B1	Balzers Aktiengesellschaft	10/13/1993			
A142	EP	0581423	A1	General Electric Company	02/02/1994			
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A150	EP	0798572	A1	GEC Marconi Ltd.	10/01/1997			
A151	EP	0821078	B1	Howmet Research Corporation	01/28/1998			
A152	EP	0834191	B1	Cauldron Limited Partnership	04/08/1998			
A153	EP	1008672	A1	General Electric Company	06/14/2000			
A154	EP	1061553	A1	Photoscience Japan Corporation	12/20/2000			
A155	EP	1067580	B1	Hamamatsu Photonics K.K.	01/10/2001			
A156	EP	1111091	A1	United Technologies Corporation	06/27/2001			
A157	EP	1197577	A2	General Electric Company	04/17/2002			

Examiner Signature

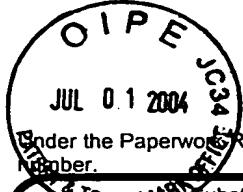
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STATEMENT BY APPLICANT

Date Submitted: July 1, 2004

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Sheet 5 of 5

## Complete if Known

Application Number	10/633,245
Filing Date	08/01/2003
First Named Inventor	Richard P. Welty
Group Art Unit	1762
Examiner Name	To be determined

Attorney Docket Number 081149-0109

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Offi ce <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
A158	EP	1197583	A1	General Electric Company	04/17/2002			
A159	EP	1209247	A1	General Electric Company	05/29/2002			
A160	EP	1314790	A2	SECO Tools AB	05/28/2003			
A161	EP	1321541	A2	General Electric Company	06/25/2003			
A162	EP	1329536	A1	General Electric Company	07/23/2003			

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A163	Abstracts for all references submitted, (retrieved from micropat.com on 2/24/04), 69 pgs.	

Examiner Signature

Date Considered

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